

一、零碎

积分中对二元函数 $z = f(x, y)$, 它的全微分长相: $dz = \frac{\partial z}{\partial x}(x, y)dx + \frac{\partial z}{\partial y}(x, y)dy$

$$\text{由于 } \frac{\partial^2 z}{\partial x \partial y} = \frac{\partial^2 z}{\partial y \partial x} \quad \text{有 } \frac{\partial^2}{\partial x \partial y} = \frac{\partial^2}{\partial y \partial x} \quad \Rightarrow P dx + Q dy$$

$$\text{若在区域 } D \text{ 中 } \frac{\partial^2}{\partial x \partial y}(x, y) = \frac{\partial^2}{\partial y \partial x}(x, y) \quad dz = \left[\begin{array}{cc} \frac{\partial z}{\partial x} & \frac{\partial z}{\partial y} \end{array} \right] \begin{bmatrix} dx \\ dy \end{bmatrix}$$

则 $P dx + Q dy$ 必为某个 $z = z(x, y)$ 的全微分

一般地, 我们不要求 $\frac{\partial^2}{\partial x \partial y} = \frac{\partial^2}{\partial y \partial x}$,

称 $P dx + Q dy$ 称为 **一阶微分形式** differential form

二.

在曲面论中, 我们希望用 orthonormal basis (切平面)

问题在于曲面上该有一个非常自然的方式选择 orthonormal basis

方案: 放弃选取 orthonormal basis "要求"

不做求偏导运算, 而用微分运算

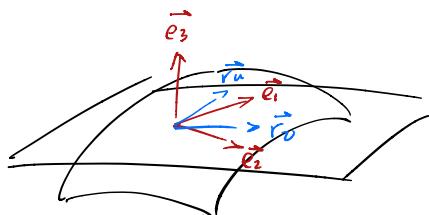
四. 曲面上公正标架总是存在的

从直基出发, 用 Schmidt 正交化

取标架 $\{\vec{r}(u, v); \vec{e}_1(u, v), \vec{e}_2(u, v), \vec{e}_3(u, v)\}$

s.t. $\vec{e}_3 = \vec{n}$ (unit normal vector)

看 $\{\vec{e}_1, \vec{e}_2, \vec{e}_3\}$ 变化规律 (不求偏导, 求微分)



$$\Sigma: \vec{r} = \vec{r}(u, v) = (x(u, v), y(u, v), z(u, v)), (u, v) \in D$$

fouts.

11) 法曲率 $k_n = \frac{II}{I} \Rightarrow$ 特殊的法曲率: 主曲率 k_1, k_2 及主方向

$$(EG - F^2)k^2 - (GN - 2FM + EL)k_n + (LN - M^2) = 0$$

$$\text{主方向} \begin{vmatrix} dv^2 & -dudv & du^2 \\ E & F & G \\ L & M & N \end{vmatrix} = 0$$

$$\text{Euler 公式 } k_n(\theta) = k_1 \cos^2 \theta + k_2 \sin^2 \theta$$

12) 平均曲率 $H = \frac{1}{2}(k_1 + k_2)$

Gauss 曲率 $K = k_1 k_2$

13) 曲面上特殊曲线

渐近线 (沿着曲线切向, 法曲率为零)

$$Ldu^2 + 2M dudv + Ndv^2 = 0$$

曲率线 曲线上每点切方向都垂直于主方向

$$\text{方程} \begin{vmatrix} dv^2 & -dudv & du^2 \\ E & F & G \\ L & M & N \end{vmatrix} = 0$$

14) 基本公式及基本方程

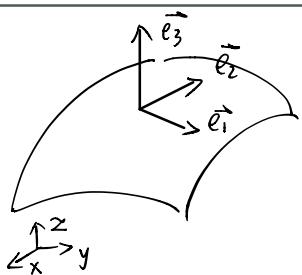
$$\vec{r}_{ij} = \Gamma_{ij}^k \vec{r}_k + b_{ij} \vec{n}$$

$$\vec{n}_i = -b_i^k \vec{r}_k$$

$$R_{ijkl} = b_{ik} b_{jl} - b_{il} b_{jk} \quad \text{Gauss}$$

$$b_{ij,k} = b_{ik,j} \quad \text{Godazzi}$$

Step. 写出方程, 求解并 \rightarrow 得到几何量及几何性质



36 定义曲面 $\vec{r} = \vec{r}(u, v) = (x(u, v), y(u, v), z(u, v))$

在曲面上选定一个正交基架 $\{\vec{r}(u, v), \vec{e}_1, \vec{e}_2, \vec{e}_3\}$, 其中 $\vec{e}_3 = \vec{n}$, $\vec{e}_i = \vec{e}_i(u, v)$: $D \rightarrow \mathbb{R}^2$
 $\vec{e}_i \cdot \vec{e}_j = \delta_{ij}$ ($i, j = 1, 2, 3$)

首先, 正交基架有向 (局部). $\{\vec{r}, \vec{r}_u, \vec{r}_v, \vec{n}\} \xrightarrow{\text{Gram-Schmidt}} \text{Orthonormal}$

从曲面位置向量 $\vec{r} = \vec{r}(u, v)$ 出发, 进行微分运算

$$\vec{r}_u = a_{11} \vec{e}_1 + a_{12} \vec{e}_2$$

$$\vec{r}_v = a_{21} \vec{e}_1 + a_{22} \vec{e}_2$$

设 $a_{ij} = a_{ij}(u, v)$ 为二元函数, 设 $A = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}$, nonsingular

$$d\vec{r} = (dx, dy, dz) \quad x: D \rightarrow \mathbb{R} \quad y: D \rightarrow \mathbb{R} \quad z: D \rightarrow \mathbb{R} \\ (u, v) \mapsto x(u, v) \quad (u, v) \mapsto y(u, v) \quad (u, v) \mapsto z(u, v)$$

$$= (x_u du + x_v dv, y_u du + y_v dv, z_u du + z_v dv)$$

$$= (x_u, y_u, z_u) du + (x_v, y_v, z_v) dv$$

$$= \vec{r}_u du + \vec{r}_v dv$$

$$d\vec{r} = (a_{11} \vec{e}_1 + a_{12} \vec{e}_2) du + (a_{21} \vec{e}_1 + a_{22} \vec{e}_2) dv$$

$$= (a_{11} du + a_{21} dv) \vec{e}_1 + (a_{12} du + a_{22} dv) \vec{e}_2$$

$$\therefore w_1 = a_{11} du + a_{21} dv, \quad w_2 = a_{12} du + a_{22} dv \quad \text{一次微分形式}$$

$$\text{那么有 } d\vec{r} = w_1 \vec{e}_1 + w_2 \vec{e}_2$$

$$d\vec{r} = (du) \vec{r}_u + (dv) \vec{r}_v$$

$$\text{事实 } d\vec{r} = \vec{r}_u du + \vec{r}_v dv, \quad d\vec{n} = \vec{n}_u du + \vec{n}_v dv$$

$$\text{有 } I = d\vec{r} \cdot d\vec{r}, \quad II = -d\vec{n} \cdot d\vec{r}$$

$$\therefore I = (w_1 \vec{e}_1 + w_2 \vec{e}_2) \cdot (w_1 \vec{e}_1 + w_2 \vec{e}_2)$$

$$= w_1 w_1 + w_2 w_2$$

现在对 $\vec{e}_1, \vec{e}_2, \vec{e}_3$ 分别求微分并按 $\{\vec{e}_1, \vec{e}_2, \vec{e}_3\}$ 下进行分解

$$\text{有 } d\vec{e}_1 = w_{11} \vec{e}_1 + w_{12} \vec{e}_2 + w_{13} \vec{e}_3 \quad (\text{称之为组合 Combination})$$

$$d\vec{e}_2 = w_{21} \vec{e}_1 + w_{22} \vec{e}_2 + w_{23} \vec{e}_3 \quad d\vec{e}_3 \text{ 是次微分形式}$$

$$d\vec{e}_j = w_{j1} \vec{e}_1 + w_{j2} \vec{e}_2 + w_{j3} \vec{e}_3$$

其中 w_{ij} 是 $p(u,v)du + q(u,v)dv$ 为一阶微分形式

$$\Rightarrow \langle \vec{e}_i, \vec{e}_j \rangle = \delta_{ij}$$

$$d(\langle \vec{e}_i, \vec{e}_j \rangle) = 0$$

$$\langle d\vec{e}_i, \vec{e}_j \rangle + \langle \vec{e}_i, d\vec{e}_j \rangle$$

$$\text{即 } \langle d\vec{e}_i, \vec{e}_j \rangle + \langle \vec{e}_i, d\vec{e}_j \rangle = 0$$

$$d\vec{e}_i = w_{i1} \vec{e}_1 + w_{i2} \vec{e}_2 + w_{i3} \vec{e}_3$$

$$\text{即 } w_{ij} + w_{ji} = 0$$

$\Rightarrow (w_{ij})$ 反对称

$$\begin{cases} d\vec{e}_1 = w_{12} \vec{e}_2 + w_{13} \vec{e}_3 \\ d\vec{e}_2 = w_{21} \vec{e}_1 + w_{23} \vec{e}_3 \\ d\vec{e}_3 = w_{31} \vec{e}_1 + w_{32} \vec{e}_2 \end{cases}, \text{ 其中 } \vec{e}_3 = \vec{n}, w_{ij} + w_{ji} = 0$$

$$\begin{aligned} \text{II} &= -d\vec{r} \cdot d\vec{e}_3 \\ &= -(w_1 \vec{e}_1 + w_2 \vec{e}_2) \cdot (w_{31} \vec{e}_1 + w_{32} \vec{e}_2) \\ &= w_1 w_{13} + w_2 w_{23} \quad (\text{忘了符号}) \end{aligned}$$

$$\text{II} = w_{13} w_1 + w_{23} w_2$$

$$\text{recall } w_1 = a_{11}(u,v)du + a_{21}(u,v)dv \quad \text{and } A = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \text{ nonsingular,}$$

$$w_2 = a_{12}(u,v)du + a_{22}(u,v)dv$$

知 w_1, w_2 线性无关

对一阶 differential form, 规定 $p(u,v)du + q(u,v)dv = 0 \Leftrightarrow p = q = 0$

$$\text{即 } \lambda w_1 + \mu w_2 = 0 \Leftrightarrow \lambda = \mu = 0$$

从而任一阶微分形式都可由 w_1, w_2 线性表示

$$\text{即 } w_{13} = h_{11} w_1 + h_{12} w_2$$

$$w_{23} = h_{21} w_1 + h_{22} w_2$$

$$\text{则 II} = w_1(h_{11} w_1 + h_{12} w_2) + w_2(h_{21} w_1 + h_{22} w_2)$$

$$\text{II} = \sum_{i,j=1}^n h_{ij} w_i w_j$$

$$\vec{w} \cdot B = \begin{pmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{pmatrix}$$

$$A = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}, \quad \begin{pmatrix} \vec{u} \\ \vec{v} \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \begin{pmatrix} \vec{e}_1 \\ \vec{e}_2 \end{pmatrix}$$

$$\begin{aligned} \text{I} = w_1 w_1 + w_2 w_2 &= (w_1 \ w_2) \begin{pmatrix} w_1 \\ w_2 \end{pmatrix} = (du \ dv) A \left(A^T \begin{pmatrix} du \\ dv \end{pmatrix} \right) \\ &= (du \ dv) (AA^T) \begin{pmatrix} du \\ dv \end{pmatrix} \end{aligned}$$

$$\text{另一方面 } \text{I} = (du \ dv) \begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} du \\ dv \end{pmatrix}$$

$$\Rightarrow AA^T = \begin{pmatrix} E & F \\ F & G \end{pmatrix}$$

类似地

$$\begin{aligned} \text{II} = w_1 w_{13} + w_2 w_{23} &= (w_1 \ w_2) \begin{pmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{pmatrix} \begin{pmatrix} w_1 \\ w_2 \end{pmatrix} \\ &= (du \ dv) A \begin{pmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{pmatrix} A^T \begin{pmatrix} du \\ dv \end{pmatrix} \\ &= (du \ dv) ABA^T \begin{pmatrix} du \\ dv \end{pmatrix} \end{aligned}$$

$$\text{而 II} = (du \ dv) \begin{pmatrix} L & M \\ M & N \end{pmatrix} \begin{pmatrix} du \\ dv \end{pmatrix}$$

$$\Rightarrow ABA^T = \begin{pmatrix} L & M \\ M & N \end{pmatrix}$$

由此知 B 为对称矩阵 : $h_{12} = h_{21}$

对于 n 阶方阵 X, Y, $\text{tr}(XY) = \text{tr}(YX)$

$$X = \begin{pmatrix} x_{11} & x_{1n} \\ x_{n1} & x_{nn} \end{pmatrix} \quad Y = \begin{pmatrix} y_{11} & y_{1n} \\ y_{n1} & y_{nn} \end{pmatrix}$$

$$XY = \begin{pmatrix} & \\ & \end{pmatrix}, \quad YX = \begin{pmatrix} & \\ & \end{pmatrix} \quad \text{只穿着 diagonal}$$

命题. 曲面的平均曲率 $= \frac{1}{2} \operatorname{tr} B = \frac{1}{2}(h_{11} + h_{22})$

$$\text{Gauss 曲率} = \det B = h_{11}h_{22} - h_{12}^2$$

Since 在自然坐标系 $\{\vec{r}, \vec{r}_u, \vec{r}_v, \vec{n}\}$, Weingarten 矩阵为

$$\begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} L & M \\ M & N \end{pmatrix} = W$$

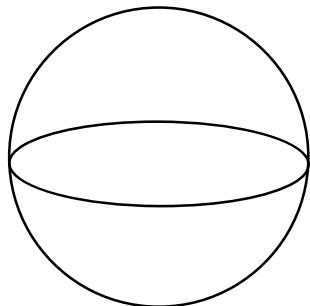
$$H \text{ 和 } H = \frac{1}{2} \operatorname{tr} W, \quad K = \det W$$

$$\text{设} \quad \begin{pmatrix} E & F \\ F & G \end{pmatrix} = A A^T$$

$$\begin{pmatrix} L & M \\ M & N \end{pmatrix} = A B A^T$$

$$\begin{aligned} \Rightarrow \begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} L & M \\ M & N \end{pmatrix} &= (A A^T)^{-1} (A B A^T) \\ &= (A^T)^{-1} A^{-1} A B A^T \\ &= (A^T)^{-1} B A^T \end{aligned}$$

$$\Rightarrow \begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} L & M \\ M & N \end{pmatrix} \sim B$$



$$w_{13} = h_{11}w_1 + h_{12}w_2$$

$$w_{23} = h_{12}w_1 + h_{22}w_2$$

$$B = \begin{pmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{pmatrix}$$

*

设球心为 \vec{o} , 半径 R .

$$\text{则 } \vec{r} \cdot \vec{r} = R^2$$

$$\text{微分 } d(\vec{r} \cdot \vec{r}) = 0$$

$$\Rightarrow \langle d\vec{r}, \vec{r} \rangle = 0$$

\Downarrow $d\vec{r}$ 为曲面切向

法向与 \vec{r} 平行, 取 $\vec{e}_3 = \frac{1}{R} \vec{r}$

$$d\vec{e}_3 = d\left(\frac{1}{R}\vec{r}\right) = \frac{1}{R} d\vec{r} = \frac{1}{R} (w_1 \vec{e}_1 + w_2 \vec{e}_2)$$

$$\Rightarrow d\vec{e}_3 = w_{31} \vec{e}_1 + w_{32} \vec{e}_2, \text{ 比较 } \vec{e}_3 \text{ 和 } \vec{r}, \text{ 有 } w_{31} = \frac{1}{R} w_1, w_{32} = \frac{1}{R} w_2$$

$$\begin{matrix} \text{而 } w_{13} = h_{11} w_1 + h_{12} w_2 \\ \parallel \\ \frac{1}{R} w_1 \end{matrix} \quad \begin{matrix} \text{且 } w_{23} = h_{21} w_1 + h_{22} w_2 \\ \parallel \\ \frac{1}{R} w_2 \end{matrix}$$

$$\Rightarrow h_{11} = \frac{-1}{R}, h_{12} = 0$$

$$h_{21} = 0, h_{22} = \frac{-1}{R}$$

$$\Rightarrow B = \begin{pmatrix} -\frac{1}{R} & 0 \\ 0 & -\frac{1}{R} \end{pmatrix} \Rightarrow H = \frac{1}{2} \operatorname{tr} B = \frac{-1}{R}$$

$$K = \det B = \frac{1}{R^2}$$

我们在 2 维直角坐标系之下, Weingarten 算子的表示矩阵为 $\begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} L & M \\ M & N \end{pmatrix}$

$$\begin{aligned} \text{即 } W(\vec{r}_u) &= -\vec{n}_u \\ W(\vec{r}_v) &= -\vec{n}_v \end{aligned}$$

$$\text{设基底 } \vec{n}_u = \lambda \vec{r}_u + \mu \vec{r}_v$$

$$\vec{n}_v = \lambda' \vec{r}_u + \mu' \vec{r}_v$$

$$\begin{cases} -L = E\lambda + F\mu \\ -M = F\lambda + G\mu \end{cases} \quad \begin{pmatrix} -L \\ -M \end{pmatrix} = \begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} \lambda \\ \mu \end{pmatrix}$$

$$\begin{pmatrix} \lambda \\ \mu \end{pmatrix} = -\begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} L \\ M \end{pmatrix}$$

$$\begin{pmatrix} \lambda' \\ \mu' \end{pmatrix} = -\begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} M \\ N \end{pmatrix}$$

$$\vec{n}_i = \lambda_i^k \vec{r}_k$$

$$\vec{n}_i \cdot \vec{r}_j = \lambda_i^k g_{kj} \quad \lambda_i^k g_{kj} = -b_{ij}$$

$$\begin{matrix} \parallel \\ -b_{ij} \end{matrix}$$

$$\text{问 } \begin{pmatrix} w(\vec{e}_1) & w(\vec{e}_2) \end{pmatrix} = (\vec{e}_1 \ \vec{e}_2) X, \quad X = ?$$

$$\vec{r}_u = a_{11} \vec{e}_1 + a_{12} \vec{e}_2$$

$$\vec{r}_v = a_{21} \vec{e}_1 + a_{22} \vec{e}_2$$

$$BP(\vec{r}_u \quad \vec{r}_v) = (\vec{e}_1 \quad \vec{e}_2) \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}$$

$$(w(\vec{r}_u) \quad w(\vec{r}_v)) = (w(\vec{e}_1) \quad w(\vec{e}_2)) A^T$$

乙知 ||

$$(\vec{r}_u \quad \vec{r}_v) \begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} L & M \\ M & N \end{pmatrix} = (\vec{e}_1 \quad \vec{e}_2) A^T (A A^T)^{-1} (A B A^T)$$

$$= (\vec{e}_1 \quad \vec{e}_2) B A^T$$

$$\Rightarrow (w(\vec{e}_1) \quad w(\vec{e}_2)) A^T = (\vec{e}_1 \quad \vec{e}_2) B A^T$$

$$\Rightarrow (w(\vec{e}_1) \quad w(\vec{e}_2)) = (\vec{e}_1 \quad \vec{e}_2) B$$

$$\Rightarrow H = \frac{1}{2} \operatorname{tr} B$$

$$K = \det B$$